# Google, LLC. BoringCrypto FIPS 140-2 Security Policy

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## Introduction

Federal Information Processing Standards Publication 140-2 — Security Requirements for Cryptographic Modules specifies requirements for cryptographic modules to be deployed in a Sensitive but Unclassified environment. The National Institute of Standards and Technology (NIST) and Canadian Centre for Cyber Security (CCCS) Cryptographic Module Validation Program (CMVP) run the FIPS 140 program. The NVLAP accredits independent testing labs to perform FIPS 140 testing; the CMVP validates modules meeting FIPS 140 validation. Validated is the term given to a module that is documented and tested against the FIPS 140 criteria.

More information is available on the CMVP website at: https://csrc.nist.gov/groups/STM/cmvp/index.html.

## About this Document

This non-proprietary Cryptographic Module Security Policy for BoringCrypto from Google, LLC provides an overview of the product and a high-level description of how it meets the overall Level 1 security requirements of FIPS 140-2.

The BoringCrypto module may also be referred to as the "module" in this document.

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## 1. Introduction

Google, LLC BoringCrypto module (hereafter referred to as the "module") is an open-source, general-purpose cryptographic library which provides FIPS 140-2 approved cryptographic algorithms to serve BoringSSL and other user-space applications. The validated version of the library is 853ca1ea1168dff08011e5d42d94609cc0ca2e27. For the purposes of the FIPS 140-2 validation, its embodiment type is defined as multi-chip standalone.

The cryptographic module was tested on the following operational environments on the general-purpose computer (GPC) platforms detailed below:

| # | Operational Environment                      | Processor Family               |
|---|--|--------------------------------|
| 1 | Debian Linux 5.10.40 (Rodete) on HPE Z620    | Intel Xeon E5-2680 with PAA    |
| 2 | Debian Linux 5.10.40 (Rodete) on HPE Z620    | Intel Xeon E5-2680 without PAA |
| 4 | Google prodimage with Linux 4.15.0 on Google | AMD Rome with PAA              |
|   | Arcadia-Rome                                 |                                |
| 5 | Google prodimage with Linux 4.15.0 on Google | AMD Rome without PAA           |
|   | Arcadia-Rome                                 |                                |
| 5 | Google prodimage with Linux 4.15.0 on Ampere | ARM Neoverse N1 with PAA       |
|   | Altra SoC                                    |                                |
| 6 | Google prodimage with Linux 4.15.0 on Ampere | ARM Neoverse N1 without PAA    |
|   | Altra SoC                                    |                                |

Table 1 - Tested Operational Environments

The cryptographic module is also supported on the following operating environments for which operational testing and algorithm testing was not performed:

- Linux 4.X executing on x86\_64 architecture;
- Linux 4.X executing on Aarch64 architecture;
- Linux 5.X executing on x86\_64 architecture;
- Linux 5.X executing on Aarch64 architecture

As per FIPS 140-2 Implementation Guidance G.5, compliance is maintained for other versions of the respective operational environments where the module binary is unchanged. No claim can be made as to the correct operation of the module or the security strengths of the generated keys if any source code is changed and the module binary is reconstructed.

The GPC(s) used during testing met Federal Communications Commission (FCC) Electromagnetic Interference (EMI) and Electromagnetic Compatibility (EMC) requirements for business use as defined by 47 Code of Federal Regulations, Part 15, Subpart B. FIPS 140-2 validation compliance is maintained when the module is operated on other versions of the GPOS running in single user mode, assuming that the requirements outlined in FIPS 140-2 IG G.5 are met.

The CMVP makes no statement as to the correct operation of the module or the security strengths of the generated keys when so ported if the specific operational environment is not listed on the validation certificate.

# 2. FIPS 140-2 Security Levels

The following table lists the level of validation for each area in FIPS 140-2:

| FIPS 140-2 Section Title                                     | Validation Level |
|--|------------------|
| Cryptographic Module Specification                           | 1                |
| Cryptographic Module Ports and Interfaces                    | 1                |
| Roles, Services, and Authentication                          | 1                |
| Finite State Model   | 1                |
| Physical Security  | N/A              |
| Operational Environment                                      | 1                |
| Cryptographic Key Management                                 | 1                |
| Electromagnetic Interference / Electromagnetic Compatibility | 1                |
| Self-Tests   | 1                |
| Design Assurance   | 1                |
| Mitigation of Other Attacks                                  | N/A              |
| Overall Level  | 1                |

Table 2 – Validation Level by FIPS 140-2 Section

## 3. Cryptographic Module Specification

## 3.1 Cryptographic Boundary

The module is a software library providing a C-language Application Program Interface (API) for use by other processes that require cryptographic functionality. All operations of the module occur via calls from host applications and their respective internal daemons/processes. As such, there are no untrusted services calling the services of the module.

The physical cryptographic boundary is the general-purpose computer on which the module is installed. The logical boundary of the module is a single object file named bcm.o, which is linked into the libcrypto.so shared library. The module performs no communications other than with the calling application (the process that invokes the module services) and the host operating system.

Figure 1 shows the logical relationship of the cryptographic module to the other software and hardware components of the computer:

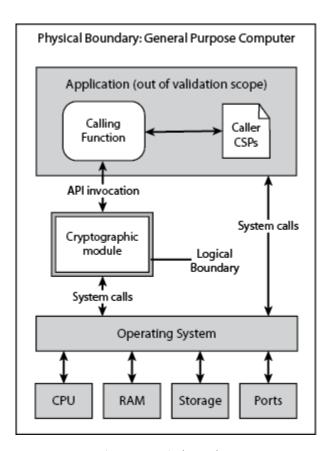


Figure 1 – Logical Boundary

## 4. Modes of Operation

The module supports two modes of operation: Approved and Non-Approved. The module will be in FIPS-approved mode when all power up Self-Tests have completed successfully, and only Approved or allowed algorithms are invoked. See Table 7 for a list of the supported Approved algorithms and Table 8 for allowed algorithms. The non-Approved mode is entered when a non-Approved algorithm is invoked. See Table 9 for a list of non-Approved algorithms.

## 5. Cryptographic Module Ports and Interfaces

The Data Input interface consists of the input parameters of the API functions. The Data Output interface consists of the output parameters of the API functions. The Control Input interface consists of the actual API input parameters. The Status Output interface includes the return values of the API functions.

| FIPS Interface | Physical Ports                         | Logical Interfaces               |
|----------------|--|----------------------------------|
| Data Input     | Physical ports of the tested platforms | API input parameters             |
| Data Output    | Physical ports of the tested platforms | API output parameters and return |
|                |  | values                           |
| Control Input  | Physical ports of the tested platforms | API input parameters             |
| Status Output  | Physical ports of the tested platforms | API return values                |
| Power Input    | Physical ports of the tested platforms | N/A                              |

Table 3 – Ports and Interfaces

As a software module, control of the physical ports is outside the module scope. However, when the module is performing self-tests, or is in an error state, all output on the module's logical data output interfaces is inhibited.

## 6. Roles, Authentication and Services

The cryptographic module implements both User and Crypto Officer (CO) roles. The module does not support user authentication. The User and CO roles are implicitly assumed by the entity accessing services implemented by the module. A user is considered the owner of the thread that instantiates the module and, therefore, only one concurrent user is allowed.

The Approved services supported by the module and access rights within services accessible over the module's public interface are listed in the table below:

| Service                               | Approved security functions | Keys and/or CSPs                                 | Roles    | Access rights to keys and/or CSPs |
|---------------------------------------|-----------------------------|--|----------|-----------------------------------|
| Module Initialization                 | N/A                         | N/A  | CO       | N/A                               |
| Symmetric                             | AES, Triple-DES             | AES, Triple-DES                                  | User, CO | Execute                           |
| encryption/decryption                 |                             | symmetric keys                                   |          |                                   |
| Keyed hashing                         | HMAC-SHA                    | HMAC key   | User, CO | Execute                           |
| Hashing                               | SHS                         | None   | User, CO | N/A                               |
| Random Bit<br>Generation              | CTR_DRBG                    | DRBG seed, internal<br>state V and Key<br>values | User, CO | Write/Execute                     |
| Signature generation/<br>verification | CTR_DRBG<br>RSA<br>ECDSA    | RSA, ECDSA private<br>key                        | User, CO | Write/Execute                     |
| Key Transport                         | RSA                         | RSA private key                                  | User, CO | Write/Execute                     |
| Key Agreement                         | KAS ECC                     | EC DH private key                                | User, CO | Write/Execute                     |
| Key Generation                        | CTR_DRBG<br>RSA<br>ECDSA    | RSA, ECDSA private<br>key                        | User, CO | Write/Execute                     |
| On-Demand<br>Self-test                | N/A                         | N/A  | User, CO | Execute                           |
| Zeroization                           | N/A                         | All keys   | User, CO | Write/Execute                     |
| Show status                           | N/A                         | N/A  | User, CO | N/A                               |

Table 4 – Approved Services, Roles and Access Rights

The module provides the following non-Approved services which utilize algorithms listed in Table 9:

| Service                               | Non-Approved Functions                                   | Roles    | Keys and/or CSPs |
|---------------------------------------|--|----------|------------------|
| Symmetric encryption/decryption       | AES (non-compliant), DES, Triple-<br>DES (non-compliant) | User, CO | N/A              |
| Hashing                               | MD4, MD5, POLYVAL, GHASH                                 | User, CO | N/A              |
| Signature generation/<br>verification | RSA (non-compliant)<br>ECDSA (non-compliant)             | User, CO | N/A              |
| Key Transport                         | RSA (non-compliant)                                      | User, CO | N/A              |
| Key Generation                        | RSA (non-compliant)<br>ECDSA (non-compliant)             | User, CO | N/A              |

Table 5 – non-Approved or non-security relevant services

The module also provides the following non-Approved or non-security relevant services over a non-public interface:

| Service   | Approved Security Functions | Roles    | Access rights to keys and/or CSPs |
|---|-----------------------------|----------|-----------------------------------|
| Large integer operations  | None                        | User, CO | N/A                               |
| Disable automatic generation of CTR_DRBG "additional_input" parameter | CTR_DRBG                    | User, CO | N/A                               |
| Wegman-Carter hashing with POLYVAL                                    | None                        | User, CO | N/A                               |

Table 6 - Non-Security Relevant Services

## 7. Physical Security

The cryptographic module is comprised of software only and thus does not claim any physical security.

## 8. Operational Environment

The cryptographic module operates under Debian Linux 5.10.40 and Google prodimage with Linux 4.15.0. The module runs on a GPC or Server running one of the operating systems specified in Table 1. Each approved operating system manages processes and threads in a logically separated manner. The module's user is considered the owner of the calling application that instantiates the module.

#### Cryptographic Algorithms & Key Management 9.

#### Approved Cryptographic Algorithms 9.1

The module implements the following FIPS 140-2 Approved algorithms:

| CAVP Cert # | Algorithm | Standard               | Mode/Method/Size   | Use  |
|-------------|-----------|------------------------|--|--|
|             | AES       | FIPS 197<br>SP 800-38A | 128, 192, 256 CBC, ECB,<br>CTR   | Encryption, Decryption   |
|             | AES       | SP 800-38C             | 128 CCM  | Authenticated<br>Encryption,<br>Authenticated<br>Decryption  |
|             | AES       | SP 800-38D             | 128, 192, 256<br>GCM/GMAC  | Authenticated<br>Encryption,<br>Authenticated<br>Decryption  |
|             | KTS       | SP 800-38F             | 128, 192, 256 KW, KWP  | Key Wrapping, Key<br>Unwrapping  |
|             | CVL       | SP 800-135rev1         | TLS 1.0/1.1 and 1.2 KDF <sup>1</sup>   | Key Derivation   |
|             | DRBG      | SP 800-90Arev1         | AES-256 CTR_DRBG   | Random Bit Generation  |
|             | ECDSA     | FIPS 186-4             | Signature Generation<br>Component, Key Pair  | Digital Signature<br>Services  |
| A1657       |           |                        | Generation, Signature Generation, Signature Verification, Public Key   |  |
| A1037       |           |                        | Validation<br>P-224, P-256, P-384, P-<br>521   |  |
|             | НМАС      | FIPS 198-1             | HMAC-SHA-1, HMAC-<br>SHA-224, HMAC-SHA-<br>256, HMAC-SHA-384,<br>HMAC-SHA-512  | Generation,<br>Authentication  |
|             | RSA       | FIPS 186-4             | Key Generation <sup>2</sup> , Signature Generation, Signature Verification (1024, 2048, 3072, 4096-bit) <sup>3</sup> | Digital Signature<br>Services  |
|             | SHS       | FIPS 180-4             | SHA-1, SHA-224, SHA-<br>256, SHA-384, SHA-512<br>and SHA-512/256   | Digital Signature<br>Generation, Digital<br>Signature Verification,<br>non-Digital Signature<br>Applications |

<sup>&</sup>lt;sup>1</sup> The module supports FIPS 140-2 approved/allowed cryptographic algorithms for TLS 1.0, 1.1 and 1.2.

 $<sup>^{\</sup>rm 2}$  SHA-1 shall only be utilized for digital signature and general hashing operations.

<sup>&</sup>lt;sup>3</sup> 1024 Key Size is only used for Signature Verification

| Triple-DES | SP 800-67      | Three Key TCBC, TECB     | Encryption <sup>4</sup> , Decryption |
|------------|----------------|--------------------------|--------------------------------------|
|            | SP 800-38A     | (provides 112 bits of    |                                      |
|            |                | security strength)       |                                      |
| KAS-SSC    | SP 800-56rev3  | EC Diffie-Hellman P-224, | Key Agreement Scheme                 |
|            |                | P-256, P-384 and P-521   | Shared Secret                        |
|            |                | Diffie-Hellman FB, FC    | Computation (KAS-SSC)                |
|            |                |                          | per SP 800-56Arev3                   |
| KAS        | SP 800-56rev3  | EC Diffie-Hellman P-224, | Key Agreement Scheme                 |
|            | SP 800-135rev1 | P-256, P-384 and P-521   | per SP 800-56Arev3                   |
|            |                | Diffie-Hellman FB, FC    | with Key Derivation per              |
|            |                | with TLS 1.0/1.1 or 1.2  | SP 800-135                           |
|            |                | KDF                      |                                      |

Table 7 – Approved Algorithms and CAVP Certificates

## 9.2 Allowed Cryptographic Algorithms

The module supports the following non-FIPS 140-2 Approved but allowed algorithms that may be used in the Approved mode of operation:

| Algorithm         | Use  |
|-------------------|--|
| RSA Key Transport | Key establishment methodology using PKCS#1-v1.5 per section 8.1 of RFC |
|                   | 2313 provides between 112 and 256 bits of encryption strength          |
| MD5               | When used with the TLS protocol version 1.0 and 1.1                    |

Table 8 – Allowed Algorithms

## 9.3 Non-Approved Cryptographic Algorithms

The module employs the methods listed in Table 9, which are not allowed for use in a FIPS-Approved mode. Their use will result in the module operating in a non-Approved mode.

| MD5, MD4                | DES                        |
|-------------------------|----------------------------|
| AES-GCM (non-compliant) | AES (non-compliant)        |
| ECDSA (non-compliant)   | RSA (non-compliant)        |
| POLYVAL                 | Triple-DES (non-compliant) |
| GHASH (non-compliant)   |                            |

Table 9 – Non-Approved Algorithms

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<sup>&</sup>lt;sup>4</sup> After December 31<sup>st</sup>, 2023, three-key TDEA is disallowed for encryption unless specifically allowed by other NIST guidance. Decryption using three-key TDEA is allowed for legacy use.

## 9.4 Cryptographic Key Management

The table below provides a complete list of Private Keys and CSPs used by the module:

| Key/CSP Name                    | Key Description   | Generated/Input   | Output                      |
|---------------------------------|---|---|-----------------------------|
| AES Key                         | AES (128/192/256) encrypt / decrypt key                             | Input via API in plaintext  | Output via API in plaintext |
| AES-GCM Key                     | AES (128/192/256) encrypt / decrypt / generate / verify key         | Input via API in plaintext  | Output via API in plaintext |
| AES Wrapping Key                | AES (128/192/256) key wrapping key                                  | Input via API in plaintext  | Output via API in plaintext |
| Triple-DES Key                  | Triple-DES (3-Key) encrypt / decrypt key                            | Input via API in plaintext  | Output via API in plaintext |
| ECDSA Signing Key               | ECDSA (P-224/P-256/P-384/P-521) signature generation key            | Internally Generated or input via API in plaintext  | Output via API in plaintext |
| EC DH Private Key               | EC DH (P-224/P-256/P-384/P-521)<br>private key                      | Internally Generated or input via API in plaintext  | Output via API in plaintext |
| HMAC Key                        | Keyed hash key<br>(160/224/256/384/512)                             | Input via API in plaintext  | Output via API in plaintext |
| RSA Key (Key<br>Transport)      | RSA (2048 to 16384 bits) key decryption (private key transport) key | Internally Generated or input via API in plaintext  | Output via API in plaintext |
| RSA Signature<br>Generation Key | RSA (2048 to 16384 bits) signature generation key                   | Internally Generated or input via API in plaintext  | Output via API in plaintext |
| TLS Pre-Master<br>Secret        | Shared Secret; 48 bytes of pseudorandom data                        | Internally Generated  | Output via API in plaintext |
| TLS Master Secret               | Shared Secret; 48 bytes of pseudorandom data                        | Internally Derived via<br>key derivation<br>function defined in<br>SP 800-135 KDF<br>(TLS). | Output via API in plaintext |
| CTR_DRBG V (Seed)               | 128 bits  | Internally Generated  | Does not exit the module    |
| CTR_DRBG Key                    | 256 bits  | Internally Generated  | Does not exit the module    |
| CTR_DRBG Entropy<br>Input       | 384 bits  | Input via API in plaintext  | Does not exit the module    |

Table 10 – Keys and CSPs supported

## 9.5 Public Keys

The table below provides a complete list of the Public keys used by the module:

| Public Key Name         | Key Description  |
|-------------------------|--|
| ECDSA Verification Key  | ECDSA (P-224/P-256/P-384/P-521) signature verification key         |
| EC DH Public Key        | EC DH (P-224/P-256/P-384/P-521) public key                         |
| RSA Key (Key Transport) | RSA (2048 to 16384 bits) key encryption (public key transport) key |

| RSA Signature Verification Key | RSA (1024 to 16384 bits) signature verification |
|--------------------------------|---|
|                                | public key                                      |

Table 11 - Public keys supported

#### 9.6 Key Generation

The module supports generation of ECDSA, EC Diffie-Hellman, and RSA key pairs as specified in Section 5 of NIST SP 800-133. The module employs a NIST SP 800-90A random bit generator for creation of the seed for asymmetric key generation. The module receives entropy passively, and a minimum of 112 bits of entropy must be supplied.

The output data path is provided by the data interfaces and is logically disconnected from processes performing key generation or zeroization. No key information will be output through the data output interface when the module zeroizes keys.

### 9.7 Key Storage

The cryptographic module does not perform persistent storage of keys. Keys and CSPs are passed to the module by the calling application. The keys and CSPs are stored in memory in plaintext. Keys and CSPs residing in internally allocated data structures (during the lifetime of an API call) can only be accessed using the module defined API. The operating system protects memory and process space from unauthorized access.

### 9.8 Key Zeroization

The module is passed keys as part of a function call from a calling application and does not store keys persistently. The calling application is responsible for parameters passed in and out of the module. The Operating System and the calling application are responsible to clean up temporary or ephemeral keys.

All CSPs can be zeroized by power cycling or by rebooting the host test platform.

## 10. Self-tests

FIPS 140-2 requires the module to perform self-tests to ensure the integrity of the module and the correctness of the cryptographic functionality at start up. Some functions require conditional tests during normal operation of the module. The supported tests are listed and described in this section.

#### 10.1 Power-On Self-Tests

Power-on self-tests are run upon the initialization of the module and do not require operator intervention to run. If any of the tests fail, the module will not initialize. The module will enter an error state and no services can be accessed.

The module implements the following power-on self-tests:

| Туре           | Test  |
|----------------|---|
| Integrity Test | HMAC-SHA-256  |
|                |   |
| Known Answer   | AES KAT (encryption and decryption. Key size: 128-bits)               |
| Test           | AES-GCM KAT (encryption and decryption. Key size: 128-bits)           |
|                | Triple-DES KAT (encryption and decryption. Key size: 168-bits)        |
|                | ECDSA KAT (signature generation/signature verification. Curve: P-256) |
|                | HMAC KAT (HMAC-SHA-1, HMAC-SHA-512)                                   |
|                | SP 800-90A CTR_DRBG KAT (Key size: 256-bits)                          |
|                | RSA KAT (signature generation/signature verification and              |
|                | encryption/decryption. Key size: 2048-bit)                            |
|                | TLS v1.2 KDF KAT  |
|                | Primitive "Z" Computation KAT   |
|                | KAS-ECC KAT (Curve P-256)   |
|                | KAS-FFC KAT (2048-bit)  |
|                | SHA KAT (SHA-1, SHA-256, SHA-512)                                     |

Table 12 – Power-on Self-tests

By default, all power up self-tests are executed at module initialization. The module can be configured to only run the integrity test on subsequent instantiations by setting the environmental variable BORINGSSL\_FIPS\_SELF\_TEST\_FLAG\_FILE, as allowed by FIPS 140-2 IG 9.11. If configured, after the self-tests have passed, the module creates a temporary file named after the module's HMAC-SHA-256 integrity value (this value does not persist across power cycles). This file is checked for existence whenever subsequent instantiations of the module are initialized. If it exists, only the integrity test is run. If the environmental variable is not set, the file does not exist, or the file cannot be accessed for any reason, the entire set of power-on self-tests (KATs and integrity test) are run. The power-on self-tests must be passed before a User/Crypto Officer can perform services. The Power-on self-tests can be run on demand by power-cycling the host platform.

#### 10.2 Conditional Self-Tests

Conditional self-tests are run during operation of the module. If any of these tests fail, the module will enter an error state, where no services can be accessed by the operators. The module can be re-

initialized to clear the error and resume FIPS mode of operation. Each module performs the following conditional self-tests:

| Туре             | Test  |
|------------------|---|
| Pair-wise        | ECDSA Key Pair generation                                       |
| Consistency Test | RSA Key Pair generation   |
| DRBG Health      | Performed on DRBG, per SP 800-90A Section 11.3. Required per IG |
| Tests            | C.1. Also includes a continuous test.                           |

Table 13 – Conditional Self-tests

Pairwise consistency tests are performed for both possible modes of use, e.g. Sign/Verify and Encrypt/Decrypt.

# 11. Mitigation of other Attacks

The module is not designed to mitigate against attacks which are outside of the scope of FIPS 140-2.

## 12. Guidance and Secure Operation

#### 12.1 Installation Instructions

The following steps shall be performed to build, compile and statically link the BoringCrypto module to BoringSSL on the tested Operational Environments.

The below tools are required in order to build and compile the module:

- Clang compiler version 12.0.0 (http://releases.llvm.org/download.html)
- Go programming language version 1.16.5 (https://golang.org/dl/
- Ninja build system version 1.10.2 (https://github.com/ninja-build/ninja/releases)
- Cmake version 3.20.1 (https://cmake.org/download/)

Once the above tools have been obtained, issue the following command to create a CMake toolchain file to specify the use of Clang:

printf "set(CMAKE\_C\_COMPILER \"clang\")\nset(CMAKE\_CXX\_COMPILER \"clang++\")\n" > \${HOME}/toolchain

The FIPS 140-2 validated release of the module can be obtained by downloading the tarball containing the source code at the following location:

https://commondatastorage.googleapis.com/chromium-boringssl-fips/boringssl-853ca1ea1168dff08011e5d42d94609cc0ca2e27.tar.xz

or by issuing the following command:

wget https://commondatastorage.googleapis.com/chromium-boringssl-fips/boringssl-853ca1ea1168dff08011e5d42d94609cc0ca2e27.tar.xz

The set of files specified in the archive constitutes the complete set of source files of the validated module. There shall be no additions, deletions, or alterations of this set as used during module build.

The downloaded tarball file can be verified using the below SHA-256 digest value:

a4d069ccef6f3c7bc0c68de82b91414f05cb817494cd1ab483dcf3368883c7c2

By issuing the following command:

sha256sum boringssl-853ca1ea1168dff08011e5d42d94609cc0ca2e27.tar.xz

After the tarball has been extracted, the following commands will compile the module:

- 1. cd boringssl
- mkdir build && cd build && cmake -GNinja -DCMAKE\_TOOLCHAIN\_FILE=\${HOME}/toolchain -DFIPS=1 -DCMAKE\_BUILD\_TYPE=Release ..
- 3. ninja

Upon completion of the build process the compilation can be validated by running the following command and observing that no tests fail:

./crypto/crypto\_test

The module's status can be verified by issuing:

./tool/bssl isfips

The module will print "1" if it is in a FIPS 140-2 validated mode of operation.

### 12.2 Secure Operation

#### 12.2.1 Initialization

The cryptographic module is initialized by loading the module before any cryptographic functionality is available. In User Space the operating system is responsible for the initialization process and loading of the library. The module is designed with a default entry point (DEP) which ensures that the power-up tests are initiated automatically when the module is loaded.

#### 12.2.2 Usage of AES OFB, CFB and CFB8

In approved mode, users of the module must not utilize AES OFB, CFB and CFB8.

## 12.2.3 Usage of AES-GCM

In the case of AES-GCM, the IV generation method is user selectable based on API parameters and the value can be computed in more than one manner.

AES GCM encryption and decryption are used in the context of the TLS protocol version 1.2 (compliant to Scenario 1 in FIPS 140-2 A.5). The module is compliant with NIST SP 800-52 and the mechanism for IV generation is compliant with RFC 5288. The module ensures that it is strictly increasing and thus cannot repeat. When the IV exhausts the maximum number of possible values for a given session key, the first party (client or server) to encounter this condition may either trigger a handshake to establish a new encryption key in accordance with RFC 5246, or fail. In either case, the module prevents and IV duplication and thus enforces the security property.

The module's IV is generated internally by the module's Approved DRBG, which is internal to the module's boundary. The IV is 96-bits in length per NIST SP 800-38D, Section 8.2.2 and FIPS 140-2 IG A.5 scenario 2.

The selection of the IV construction method is the responsibility of the user of this cryptographic module. In approved mode, users of the module must not utilize GCM with an externally generated IV.

Per IG A.5, in the event module power is lost and restored the consuming application must ensure that any of its AES-GCM keys used for encryption or decryption are re-distributed.

#### 12.2.4 Usage of Triple-DES

In accordance with CMVP IG A.13, when operating in a FIPS approved mode of operation, the same Triple-DES key shall not be used to encrypt more than  $2^{20}$  or  $2^{16}$  64-bit data blocks.

The TLS protocol governs the generation of the respective Triple-DES keys. Please refer to IETF RFC 5246 (TLS) for details relevant to the generation of the individual Triple-DES encryption keys. The user is responsible for ensuring that the module limits the number of encrypted blocks with the same key to no more than 2<sup>20</sup> when utilized as part of a recognized IETF protocol.

For all other uses of Triple-DES, the user is responsible for ensuring that the module limits the number of encrypted blocks with the same key to no more than 2<sup>16</sup>.

#### 12.2.5 Usage of RSA and ECDSA

The module allows the use of 1024 bit RSA keys for legacy purposes including signature generation, which is disallowed in FIPS Approved mode as per NIST SP 800-131A. Therefore, the cryptographic

operations with the non-approved key sizes will result in the module operating in Non-Approved mode implicitly.

The elliptic curves utilized shall be the validated NIST-recommended curves and shall provide a minimum of 112 bits of encryption strength.

SHA-1 shall only be utilized for digital signature verification and non-digital signature applications per NIST SP 800-131Ar2.

Non-approved cryptographic algorithms shall not share the same key or CSP as an approved algorithm. As such approved algorithms shall not use the keys generated by the module's Non-Approved key generation methods or the converse.

## 13. References and Standards

The following Standards are referred to in this Security Policy:

| Abbreviation  | Full Specification Name  |
|---------------|--|
| FIPS 140-2    | Security Requirements for Cryptographic modules  |
| FIPS 180-4    | Secure Hash Standard (SHS)   |
| FIPS 186-4    | Digital Signature Standard (DSS)   |
| FIPS 197      | Advanced Encryption Standard   |
| FIPS 198-1    | The Keyed-Hash Message Authentication Code (HMAC)  |
| IG            | Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program             |
| SP 800-38A    | Recommendation for Block Cipher Modes of Operation: Three Variants of Ciphertext Stealing for CBC Mode |
| SP 800-38D    | Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC                 |
| SP 800-38F    | Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping                           |
| SP 800-56Ar3  | Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography           |
| SP 800-67     | Recommendation for the Triple Data Encryption Algorithm (TDEA) Block Cipher                            |
| SP 800-90A    | Recommendation for Random Number Generation Using Deterministic Random Bit Generators                  |
| SP 800-131Ar2 | Transitioning the Use of Cryptographic Algorithms and Key Lengths                                      |
| SP 800-133    | Recommendation for Cryptographic Key Generation  |
| SP 800-135    | Recommendation for Existing Application-Specific Key Derivation Functions                              |

Table 14 – References and Standards

# 14. Acronyms and Definitions

| Acronym | Definition                                 |
|---------|--|
| ADB     | Android Debug Bridge                       |
| AES     | Advanced Encryption Standard               |
| API     | Application Programming Interface          |
| CAVP    | Cryptographic Algorithm Validation Program |
| CBC     | Cipher-Block Chaining                      |
| CCCS    | Canadian Centre for Cyber Security         |
| CFB     | Cipher Feedback                            |
| CKG     | Cooperative Key Generation                 |
| CMVP    | Crypto Module Validation Program           |
| СО      | Cryptographic Officer                      |
| CPU     | Central Processing Unit                    |
| CRNGT   | Continuous Random Number Generator Test    |
| CSP     | Critical Security Parameter                |
| CTR     | Counter-mode                               |
| CVL     | Component Validation List                  |
| DEP     | Default Entry Point                        |

| DES   | Data Encryption Standard                       |
|-------|--|
| DH    | Diffie-Hellman                                 |
| DRBG  | Deterministic Random Bit Generator             |
| DSS   | Digital Signature Standard                     |
| EC    | Elliptic Curve                                 |
| ECB   | Electronic Code Book                           |
| ECC   | Elliptic Curve Cryptography                    |
| EC DH | Elliptic Curve Diffie-Hellman                  |
| ECDSA | Elliptic Curve Digital Signature Authority     |
| EMC   | Electromagnetic Compatibility                  |
| EMI   | Electromagnetic Interference                   |
| FCC   | Federal Communications Commission              |
| FIPS  | Federal Information Processing Standards       |
| GCM   | Galois/Counter Mode                            |
| GMAC  | Galois Message Authentication Code             |
| GPC   | General Purpose Computer                       |
| GPOS  | General Purpose Operating System               |
| HMAC  | Key-Hashed Message Authentication Code         |
| IETF  | Internet Engineering Task Force                |
| IG    | Implementation Guidance                        |
| IV    | Initialization Vector                          |
| KAS   | Key Agreement Scheme                           |
| KAT   | Known Answer Test                              |
| KDF   | Key Derivation Function                        |
| KTS   | Key Transport Scheme                           |
| KW    | Key Wrap                                       |
| KWP   | Key Wrap with Padding                          |
| LLC   | Limited Liability Company                      |
| MAC   | Message Authentication Code                    |
| MD4   | Message Digest algorithm MD4                   |
| MD5   | Message Digest algorithm MD5                   |
| N/A   | Not-Applicable                                 |
| NIST  | National Institute of Standards and Technology |
| NDRNG | Non-Deterministic Random Number Generator      |
| NVLAP | National Voluntary Lab Accreditation Program   |
| OFB   | Output Feedback                                |
| PAA   | Processor Algorithm Accelerator                |
| RAM   | Random Access Memory                           |
| RFC   | Request For Comment                            |
| RSA   | Rivest Shamir Adleman                          |
| SHA   | Secure Hash Algorithm                          |
| SHS   | Secure Hash Standard                           |
| SP    | Special Publication                            |

| SSL        | Secure Socket Layer              |
|------------|----------------------------------|
| TCBC       | Triple-DES Cipher-Block Chaining |
| TDEA       | Triple Data Encryption Algorithm |
| TECB       | Triple-DES Electronic Code Book  |
| TLS        | Transport Layer Security         |
| Triple-DES | Triple Data Encryption Standard  |

Table 15 – Acronyms and Definitions