



## FIPS 140-2 Non-Proprietary Security Policy

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### Acme Packet 4600 and Acme Packet 6350

FIPS 140-2 Level 1 Validation

Hardware Version(s): 4600 and 6350 with Quad NIU

Firmware Version: S-Cz9.0

Date: January 24, 2024



**Title:** Acme Packet 4600 and Acme Packet 6350 Non-Proprietary Security Policy

**Date:** January 24, 2024

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## 1. Introduction

### 1.1 Overview

This document is the Security Policy for the Acme Packet 4600 and the Acme Packet 6350 appliances manufactured by Oracle Communications, a business unit within Oracle Corporation. Oracle Communications are legally bound by the rules of Oracle Corporation as this is the legal entity. Acme Packet 4600 and the Acme Packet 6350 are also referred to as “the module” or “modules”. This Security Policy specifies the security rules under which the module shall operate to meet the requirements of FIPS 140-2 Level 1. It also describes how the Acme Packet 4600 and the Acme Packet 6350 appliances function to meet the FIPS requirements, and the actions that operators must take to maintain the security of the modules.

This Security Policy describes the features and design of the Acme Packet 4600 and the Acme Packet 6350 modules using the terminology contained in the FIPS 140-2 specification. FIPS 140-2, Security Requirements for Cryptographic Modules specifies the security requirements that will be satisfied by a cryptographic module utilized within a security system protecting sensitive but unclassified information. The NIST/CCCS Cryptographic Module Validation Program (CMVP) validates cryptographic modules to FIPS 140-2. Validated products are accepted by the Federal agencies of both the USA and Canada for the protection of sensitive or designated information.

### 1.2 Document Organization

The Security Policy document is one document in a FIPS 140-2 Submission Package. The Submission Package contains:

- Oracle Non-Proprietary Security Policy
- Oracle Vendor Evidence document
- Finite State Machine
- Entropy Assessment Document
- Other supporting documentation as additional references

Except for this Non-Proprietary Security Policy, the FIPS 140-2 Validation Documentation is proprietary to Oracle and is releasable only under appropriate non-disclosure agreements. For access to these documents, please contact Oracle.



## 2. Acme Packet 4600 and Acme Packet 6350

### 2.1 Functional Overview

The Acme Packet 4600 and the Acme Packet 6350 appliances are specifically designed to meet the unique price performance and manageability requirements of the small to medium sized enterprise and remote office/ branch office. Ideal for small site border control and Session Initiation Protocol (SIP) trunking service termination applications, the Acme Packet 4600 and the Acme Packet 6350 appliances deliver Oracle’s industry leading ESBC capabilities in a small form factor appliance. With support for high availability (HA) configurations, hardware assisted transcoding and Quality of Service (QoS) measurement, the Acme Packet 4600 and the Acme Packet 6350 appliances are a natural choice when uncompromising reliability and performance are needed in an entry-level appliance. With models designed for the smallest branch office to the largest data center, the Acme Packet ESBC product family supports distributed, centralized, or hybrid SIP trunking topologies.

Acme Packet 4600 and Acme Packet 6350 appliances address the unique connectivity, security, and control challenges enterprises often encounter when extending real-time voice, video, and UC sessions to smaller sites. The appliances also help enterprises contain voice transport costs and overcome the unique regulatory compliance challenges associated with IP telephony. TDM fallback capabilities ensure continuous dial out service at remote sites in the event of WAN or SIP trunk failures. Stateful high availability configurations protect against link and hardware failures. An embedded browser based graphical user interface (GUI) simplifies setup and administration.

### 2.2 FIPS 140-2 Validation Scope

The Acme Packet 4600 and Acme Packet 6350 appliances are being validated to overall FIPS 140-2 Level 1 requirements. See Table 1 below.

Security Requirements Section	Level
Cryptographic Module Specification	1
Cryptographic Module Ports and Interfaces	1
Roles and Services and Authentication	2
Finite State Machine Model	1
Physical Security	1
Operational Environment	N/A
Cryptographic Key Management	1
EMI/EMC	1
Self-Tests	1
Design Assurance	3
Mitigation of Other Attacks	N/A

**Table 1: FIPS 140-2 Security Requirements**

## 3. Cryptographic Module Specification

### 3.1 Definition of the Cryptographic Modules

The modules consist of the Acme Packet 4600 and the Acme Packet 6350 appliances running firmware version S-Cz9.0. The hardware platforms/versions that correspond to each of the tested modules are 4600 and 6350 with Quad NIU. The modules are classified as a multi-chip standalone cryptographic module. The physical cryptographic boundary for the Acme Packet 4600 and Acme Packet 6350 with a Quad NIU is all components with exception of the removable power supplies. A representation of the cryptographic boundary is defined as the chassis of the module as shown in the Figures below:



Figure 1: Acme Packet 4600

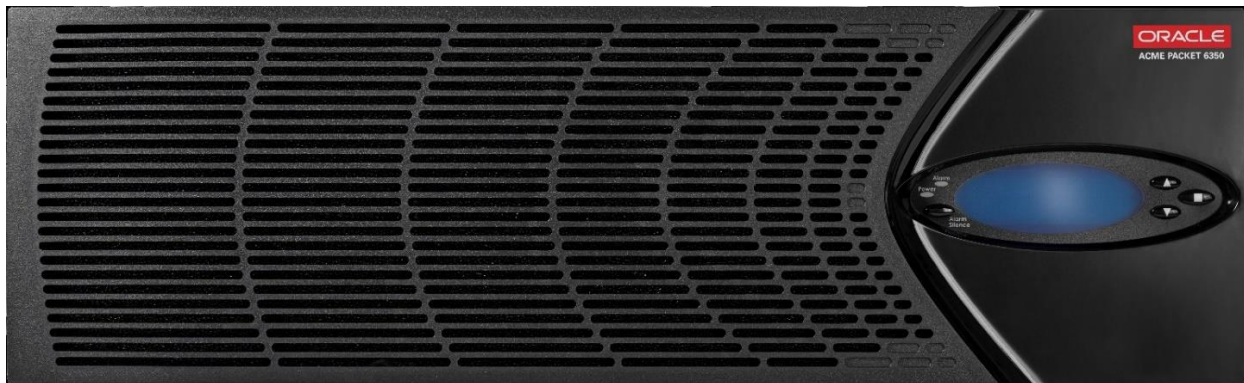


Figure 2: Acme Packet 6350



### 3.2 Approved or Allowed Security Functions

The appliances contain the FIPS Approved Algorithms listed in Table 2 (Oracle Acme Packet Cryptographic Library), Table 3 (Oracle Acme Packet Mocana Cryptographic Library) and Table 4 (Cavium Nitrox). Additionally, the Acme Packet 4600 contains the FIPS Approved Algorithms listed in Table 5 (Cavium Octeon II) and the Acme Packet 6350 contains the FIPS Approved Algorithms listed in Table 6 (Cavium Octeon III):

Approved or Allowed Security Functions		Cert#
<b>Symmetric Algorithms</b>		
AES	CBC, ECB, GCM, GMAC; Encrypt/Decrypt; Key Size = 128, 256 CTR; Encrypt; Key Size = 128,256	<a href="#">A1634</a>
Triple DES <sup>1</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<a href="#">A1634</a>
<b>Secure Hash Standard (SHS)</b>		
SHS	SHA-1, SHA-256, SHA-384, SHA-512	<a href="#">A1634</a>
<b>Data Authentication Code</b>		
HMAC	HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	<a href="#">A1634</a>
<b>Asymmetric Algorithms</b>		
RSA	RSA: FIPS186-4: 186-4 KEY(gen): FIPS186-4_Fixed (2048) ALG[ANSIX9.31] SIG(gen) (2048 SHA(256 , 384)), (4096 SHA(256 , 384)) ALG[ANSIX9.31] SIG(Ver) (2048 SHA(1, 256, 384))  RSA: FIPS186-2 : ALG[ANSIX9.31] SIG(Ver) (2048 SHA(1, 256, 384)), (4096 SHA (1, 256, 384))	<a href="#">A1634</a>
ECDSA	Firmware: FIPS186-4 KeyGen: (P-256, P-384) SigGen: CURVES (P-256: (SHA-256, 384) P-384: (SHA-256, 384) SigVer: CURVES (P-256: (SHA-256, 384) P-384: (SHA-256, 384))	<a href="#">A1634</a>
<b>Random Number Generation</b>		
DRBG	Firmware: CTR_DRBG: [ Prediction Resistance Tested: Not Enabled; BlockCipher_Use_df: (AES-256)]	<a href="#">A1634</a>

<sup>1</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2<sup>20</sup> 64-bit blocks of data.

<b>Key Agreement</b>		
KAS-SSC	<b>KAS-ECC-SSC:</b> Scheme: "Ephemeral Unified" with curve P-256 & P-384  <b>KAS-FFC-SSC:</b> Scheme: "dhEphem" and domain parameter generation method "ffdhe2048"	<a href="#">A1634</a>
KAS	(KAS-SSC Cert. #A1634, CVL Cert. #A1634) IG D.8 Scenario X1 Option 2.	N/A
<b>Key Establishment</b>		
Key Derivation (CVL)	Firmware: SSH KDF, SNMP KDF, SRTP KDF, TLS KDF	(CVL) <a href="#">A1634</a>
<b>Key Transport</b>		
KTS	KTS (AES Cert. #A1634 and HMAC Cert. #A1634; key establishment methodology provides 128 or 256 bits of encryption strength) – AES modes: CBC/CTR/GCM (128-bit and 256-bit). KTS (Triple-DES Cert. #A1634 and HMAC Cert. #A1634; key establishment methodology provides 112 bits of encryption strength)	

**Table 2: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Cryptographic Library**

	<b>Approved or Allowed Security Functions</b>	<b>Cert #</b>
<b>Symmetric Algorithms</b>		
AES	CBC; Encrypt/Decrypt; Key Size = 128, 192, 256 CTR; Encrypt; Key Size = 128,256	<a href="#">A1633</a>
Triple DES <sup>2</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<a href="#">A1633</a>
<b>Secure Hash Standard (SHS)</b>		
SHS	SHA-1, SHA-256, SHA-384, SHA-512	<a href="#">A1633</a>
<b>Data Authentication Code</b>		
HMAC	HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	<a href="#">A1633</a>
<b>Asymmetric Algorithms</b>		
RSA	RSA: 186-4: 186-4 KEY(gen): FIPS186-4_Fixed (2048) ALG [PKCS1.5]: SIG(Ver) (1024 SHA(1); (2048 SHA (1))	<a href="#">A1633</a>
<b>Key Agreement</b>		
KAS-SSC	<b>KAS-FFC-SSC:</b> Scheme: "dhEphem" and domain parameter generation method "MODP-2048" and "MODP-3072"	<a href="#">A1633</a>

<sup>2</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2<sup>20</sup> 64-bit blocks of data.

	Approved or Allowed Security Functions	Cert #
KAS	(KAS-SSC Cert. #A1633, CVL Cert. #A1633) IG D.8 Scenario X1 Option 2.	N/A
<b>Key Establishment</b>		
Key Derivation (CVL)	IKEv1/IKEv2 KDF	(CVL) <a href="#">A1633</a>
<b>Key Transport</b>		
KTS	KTS (AES Cert. #A1633 and HMAC Cert. #A1633; key establishment methodology provides between 128 and 256 bits of encryption strength) – AES modes: CBC (128-bit, 192-bit and 256-bit) and CTR (128-bit and 256-bit). KTS (Triple-DES Cert. #A1633 and HMAC Cert. #A1633; key establishment methodology provides 112 bits of encryption strength)	

**Table 3: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Mocana Cryptographic Library**

	Approved or Allowed Security Functions	Cert #
<b>Symmetric Algorithms</b>		
AES	CBC; Encrypt/Decrypt; Key Size = 128, 256	<a href="#">5257</a>
Triple DES <sup>3</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<a href="#">2659</a>

**Table 4: FIPS Approved and Allowed Security Functions for Cavium Nitrox**

	Approved or Allowed Security Functions	Cert #
<b>Symmetric Algorithms</b>		
AES	ECB; Encrypt/Decrypt; Key Size = 128 CTR; Encrypt; Key Size = 128	<a href="#">5256</a>
<b>Key Establishment</b>		
Key Derivation (CVL) <sup>4</sup>	SRTP KDF	(CVL) <a href="#">1727</a>

**Table 5: FIPS Approved and Allowed Security Functions for Cavium Octeon II (AP 4600)**

	Approved or Allowed Security Functions	Cert#
<b>Symmetric Algorithms</b>		
AES	CBC, ECB, GCM, GMAC; Encrypt/Decrypt; Key Size = 128, 192, 256	<a href="#">3301</a>
Triple DES <sup>5</sup>	CBC, ECB; Encrypt/Decrypt; Key Size = 192	<a href="#">1881</a>

<sup>3</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2<sup>20</sup> 64-bit blocks of data.

<sup>4</sup> SRTP KDF for Cavium Octeon II is CAVP tested but not used by any of the services implemented in Approved mode of operation.

<sup>5</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2<sup>20</sup> 64-bit blocks of data.

Approved or Allowed Security Functions		Cert#
<b>Secure Hash Standard (SHS)</b>		
SHS <sup>6</sup>	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512	<a href="#">2737</a>
<b>Data Authentication Code</b>		
HMAC <sup>7</sup>	HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	<a href="#">2095</a>
<b>Asymmetric Algorithms</b>		
RSA	RSA: FIPS186-4 : ALG[PKCS 1.5] SIG(gen) (2048 SHA (224, 256, 384, 512)), 3072 SHA (224, 256, 384,512)) ALG[PKCS 1.5] SIG(Ver) (2048 SHA (224, 256, 384, 512)), 3072 SHA (224, 256, 384,512))	<a href="#">1745</a>
<b>Random Number Generation</b>		
DRBG <sup>8</sup>	CTR_DRBG: [ Prediction Resistance Tested: Not Enabled; BlockCipher_Use_df: (AES-256)] Hash_DRBG: [ Prediction Resistance Tested: Not Enabled (SHA-512)	<a href="#">819</a>

**Table 6: FIPS Approved and Allowed Security Functions for Cavium Octeon III (AP 6350)**

Algorithm	Usage
ENT (NP)	Greater than 256 bits entropy input from the CPU jitter RNG for seeding the SP 800-90A DRBG.

**Table 7: Approved SP 800-90B Entropy Source**

### 3.3 Non-Approved But Allowed Security Functions

The following are considered non-Approved but allowed security functions:

Algorithm	Usage
MD5 (TLS 1.2) (no security claimed)	MACing: HMAC MD5, Hashing: MD5

**Table 8: Non-Approved but Allowed Security Functions**

### 3.4 Vendor Affirmed Security Functions

The following service is considered vendor affirmed security function:

Algorithm	Vendor Affirmed Security Functions
CKG	In accordance with FIPS 140-2 IG D.12, the cryptographic module performs Cryptographic Key Generation (CKG) as per SP800-133 rev2 (vendor affirmed). The resulting generated symmetric keys and the seed used in the asymmetric key generation are the unmodified output from an NIST SP 800-90A DRBG.

**Table 9: Vendor Affirmed Functions**

<sup>6</sup> SHA-224 was CAVP tested but is not utilized by the module’s services associated with the Cavium Octeon III Cryptographic Library.

<sup>7</sup> HMAC-SHA-224 was CAVP tested but is not utilized by the module’s services associated with the Cavium Octeon III Cryptographic Library.

<sup>8</sup> CTR & Hash\_based DRBGs in the Octeon III were CAVP tested but are not utilized by the module’s services associated with the Cavium Octeon III Cryptographic Library

#### 4. Module Ports and Interfaces

The module interfaces can be categorized as follows the FIPS 140-2 Standard:

- Data Input Interface
- Data Output Interface
- Control Input interface
- Status Output Interface
- Power Interface

The table below provides a mapping of ports for the Acme Packet 4600:

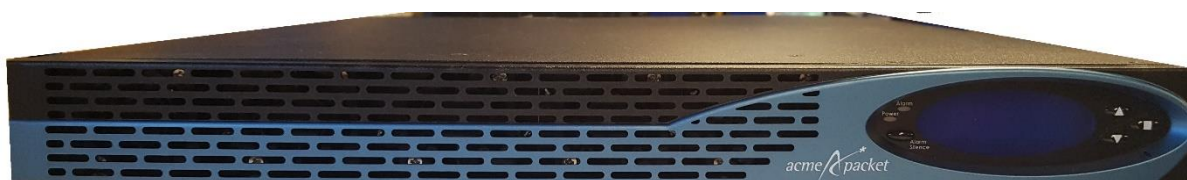
Logical Interface	Physical Ports	Information Input/Output
Data Input	Ethernet SFP Ports (P0,1,2,3) Ethernet RJ-45 ports (P4 and P5) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Cipher text  Plain text
Data Output	Ethernet SFP Ports (P0,1,2,3) Ethernet RJ-45 ports (P4 and P5) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Cipher text  Plain text
Control Input	Ethernet SFP Ports (P0,1,2,3) Ethernet RJ-45 ports (P4 and P5) Console Port Reset Button Power Switch USB Port Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Plaintext control input via console port (configuration commands, operator passwords) Ciphertext control input via network management (EMS control, CDR accounting, CLI management)
Status Output	Ethernet SFP Ports (P0,1,2,3) Ethernet RJ-45 ports (P4 and P5) Console Port Alarm Port Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2) LEDs LCD	Plaintext status output via console port.  Ciphertext status output via network management
Power	Power Plug	N/A

**Table 10: Acme Packet 4600 - Mapping of FIPS 140 Logical Interfaces to Physical Ports**

The table below provides a description and use of the physical ports for the Acme Packet 4600:

Physical Interface	Number of Ports	Description / Use
Console Port	1	Provides console access to the module. The module supports only one active serial console connection at a time.  Console port communication is used for administration and maintenance purposes from a central office (CO) location. Tasks conducted over a console port include: <ul style="list-style-type: none"> <li>• Configuring the boot process and management network</li> <li>• Creating the initial connection to the module</li> <li>• Accessing and using functionality available via the ACLI</li> <li>• Performing in-lab system maintenance (services described below)</li> <li>• Performing factory-reset to zeroize nvram and keys</li> </ul>
Alarm Port	1	Provides status output
USB Ports	1	This port is used for recovery. e.g. system re-installation after zeroization.
Ethernet Management ports	3 (Mgmt0, Mgmt1, Mgmt2)	Used for EMS control, CDR accounting, CLI management, and other management functions
Signaling and Media Ethernet ports	6 (SFP P0,1,2,3 RJ-45 P4, P5)	Provide network connectivity for signaling and media traffic. These ports are also used for incoming and outgoing data (voice) connections.

**Table 11: Acme Packet 4600 - Physical Ports**



**Figure 3: Acme Packet 4600 - Front View**



**Figure 4: Acme Packet 4600 - Rear View**

The table below provides a mapping of ports for the Acme Packet 6350 (Hardware version: 6350 with Quad NIU):

Logical Interface	Physical Ports	Information Input/Output
Data Input	Ethernet Ports Quad NIU: (Slot 0: P0, P1, P2 and P3) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Cipher text  Plain text
Data Output	Ethernet Ports Quad NIU: (Slot 0: P0, P1, P2 and P3) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Cipher text  Plain text
Control Input	Console Port Reset Button Power Switch Ethernet Ports Quad NIU: (Slot 0: P0, P1, P2 and P3) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2)	Plaintext control input via console port (configuration commands, operator passwords) Ciphertext control input via network management (EMS control, CDR accounting, CLI management)
Status Output	Console Port Alarm Port Ethernet Ports Quad NIU: (Slot 0: P0, P1, P2 and P3) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2) LEDs LCD	Plaintext status output via console port.  Ciphertext status output via network management
Power	Power Plug	N/A

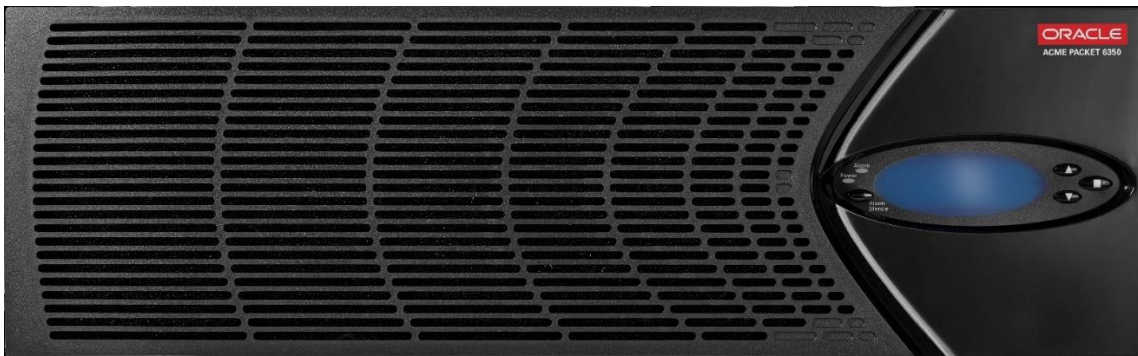
**Table 12: Acme Packet 6350 - Mapping of FIPS 140 Logical Interfaces to Physical ports**

The table below describes the interfaces on the Acme Packet 6350 (Hardware version: 6350 with Quad NIU):

Physical Interface	Number of Ports 6350 w/Quad NIU	Description / Use
Console Port	1	Provides console access to the module. The module supports only one active serial console connection at a time.  Console port communication is used for administration and maintenance purposes from a central office (CO) location. Tasks conducted over a console port include: <ul style="list-style-type: none"> <li>• Configuring the boot process and management network</li> <li>• Creating the initial connection to the module</li> <li>• Accessing and using functionality available via the ACLI</li> <li>• Performing in-lab system maintenance (services described below)</li> </ul>
Alarm Port	1	Provides status output
USB Ports	1 (disabled)	This port is used for recovery. e.g. system re-installation after zeroization.

Physical Interface	Number of Ports 6350 w/Quad NIU	Description / Use
Management Ethernet ports	3 (Mgmt0, Mgmt1, Mgmt2)	Used for EMS control, CDR accounting, CLI management, and other management functions.
Signaling and Media Ethernet ports	4 (Slot 0: P0, P1, P2 and P3)	Provide network connectivity for signaling and media traffic. These ports are also used for incoming and outgoing data (voice) connections.

**Table 13: Acme Packet 6350 Physical Ports**



**Figure 5: Acme Packet 6350 - Front View**



**Figure 6: Acme Packet 6350 - Rear View**



## 5. Physical Security

The module's physical embodiment is that of a multi-chip standalone device that meets Level 1 Physical Security requirements. The module is completely enclosed in a rack mountable chassis.



## 6. Operational Environment

The modules support a limited modifiable operational environment as per the FIPS 140-2 Section 4.6.

## 7. Roles and Services

As required by FIPS 140-2 Level 2, there are three roles (a Crypto Officer Role, User Role, and Unauthenticated Role) in the module that operators may assume. The module supports role-based authentication, and the respective services for each role are described in the following sections. The below table gives a high-level description of all services provided by the module and lists the roles allowed to invoke each service.

Operator Role	Summary of Services
User	<ul style="list-style-type: none"> <li>• View configuration versions and system performance data</li> <li>• Test pattern rules, local policies, and session translations</li> <li>• Display system alarms.</li> </ul>
Crypto Officer	Allowed access to all system commands and configuration privileges
Unauthenticated	<ul style="list-style-type: none"> <li>• Request Authentication</li> <li>• Show Status</li> <li>• Initiate self-tests</li> </ul>

**Table 14: Service Summary**

### 7.1 Operator Services and Descriptions

The below table provides a full description of all services provided by the module and lists the roles allowed to invoke each service.

U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
	X	Configure	Initializes the module for FIPS mode of operation	HMAC-SHA-256 key	R, W, X
	X	Zeroize CSP's	Clears keys/CSPs from memory and disk	All CSP's	Z
	X	Firmware Update	Updates firmware	Firmware Integrity Key (RSA)	R, X
	X	Bypass	Configure bypass using TCP or UDP and viewing bypass service status	HMAC-SHA-256 Bypass Key	R, W, X

U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
X	X	Decrypt	Decrypts a block of data Using AES or Triple-DES in FIPS Mode	TLS Session Keys (Triple-DES) TLS Session Keys (AES128) TLS Session Keys (AES256) SSH Session Key (AES128) SSH Session Key (AES256) SRTP Session Key (AES-128) SNMP Privacy Key (AES-128) IKE Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR) IPsec Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	X X X X X X X X
X	X	Encrypt	Encrypts a block of data Using AES or Triple-DES in FIPS Mode	TLS Session Keys (Triple-DES) TLS Session Keys (AES128) TLS Session Keys (AES256) SSH Session Key (AES128) SSH Session Key (AES256) SRTP Session Key (AES-128) SNMP Privacy Key (AES-128) IKE Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR) IPsec Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	X X X X X X X X X
X	X	Generate Keys	Generates AES or Triple-DES for encrypt/decrypt operations.	TLS Session Keys (Triple-DES) TLS Session Keys (AES128) TLS Session Keys (AES256) SSH Session Key (AES128) SSH Session Key (AES256) SRTP Session Key (AES-128) SNMP Privacy Key (AES-128) IKE Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR) IPsec Session Encryption Key (Triple-	R, W R, W R, W R, W R, W R, W R, W R, W R, W

U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
				DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	R, W
			Generates Diffie-Hellman, EC Diffie-Hellman for key establishment.	Diffie-Hellman Public Key (DH) Diffie-Hellman Private Key (DH) EC Diffie-Hellman Public Key (ECDH) EC Diffie-Hellman Private Key (ECDH) SSH authentication private Key (RSA) SSH authentication public key (RSA) TLS authentication private Key (ECDSA/RSA) TLS authentication public key (ECDSA/RSA) TLS premaster secret, TLS Master secret, SRTP Master key IKE Private Key (RSA) IKE Public Key (RSA) SKEYSEED SKEYID SKEYID_d	R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W R, W
X	X	Verify	Used as part of the TLS, SSH protocol negotiation	SSH authentication private Key (RSA) SSH authentication public key (RSA) TLS authentication private Key (ECDSA/RSA) TLS authentication public key (ECDSA/RSA) Diffie-Hellman Public Key (DH) Diffie-Hellman Private Key (DH) EC Diffie-Hellman Public Key (ECDH) EC Diffie-Hellman Private Key (ECDH)	X X X X X X X X

U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
X	X	Generate Seed	Generate an entropy_input for CTR DRBG	DRBG Seed DRBG Entropy Input String	R, W, X R, W, X
X	X	Generate Random Number	Generate random number.	DRBG C DRBG V DRBG Key	R, W, X R, W, X R, W, X
X	X	HMAC	Generate HMAC	SNMP Authentication Key SRTP Authentication Key SSH Integrity Keys TLS Integrity Keys IPsec Session Authentication Key IKE Session Authentication Key	X X X X X X X
X	X	Generate Certificate	Generate certificate	Web UI Certificate	R, W, X
X	X	Authenticate	Authenticate Users	Operator Password Operator RSA public key	R, W, X R, W, X

R – Read, W – Write, X – Execute, Z – Zeroize

**Table 15: Operator Services and Descriptions**

## 7.2 Unauthenticated Services and Descriptions

The below table provides a full description of the unauthenticated services provided by the module:

Service Name	Service Description
Authentication	Request authentication to an authorized role.
On-Demand Self-Test Initialization	This service initiates the FIPS self-test when requested.
Show Status	This service shows the operational status of the module

**Table 16: Operator Services and Descriptions**

## 7.3 Operator Authentication

### 7.3.1. Password-Based Authentication

In FIPS approved mode of operation, the module is accessed via Command Line Interface over the Console ports or via SSH or SNMPv3 over the Network Management Ports. Other than status functions available by viewing the Status LEDs, the services described are available only to authenticated operators.

Method	Probability of a Single Successful Random Attempt	Probability of a Successful Attempt within a Minute
Password-Based (CO and User Authentication to management interfaces)	Passwords must be a minimum of 8 characters. The password can consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters}, yielding 94 choices per character. The probability of a successful random attempt is $1/94^8$ , which is less than $1/1,000,000$ .	Passwords must be a minimum of 8 characters. The password can consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters}, yielding 94 choices per character. Assuming 10 attempts per second via a scripted or automatic attack, the probability of a success with multiple attempts in a one-minute period is $600/94^8$ , which is less than $1/100,000$ .
SNMPv3 Passwords	Passwords must be a minimum of 8 characters. The password can consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters}, yielding 94 choices per character. The probability of a successful random attempt is $1/94^8$ , which is less than $1/1,000,000$ .	Passwords must be a minimum of 8 characters. The password can consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters}, yielding 94 choices per character. Assuming 10 attempts per second via a scripted or automatic attack, the probability of a success with multiple attempts in a one-minute period is $600/94^8$ , which is less than $1/100,000$ .
Password-Based (SIP Authentication Challenge Response)	Passwords must be a minimum of 12 numeric characters. 0-9, yielding 10 choices per character. The probability of a successful random attempt is $1/10^{12}$ , which is less than $1/1,000,000$ .	Passwords must be a minimum of 12 numeric characters. 0-9, yielding 10 choices per character. Assuming 10 attempts per second via a scripted or automatic attack, the probability of a success with multiple attempts in a one-minute period is $600/10^{12}$ , which is less than $1/100,000$ .

**Table 17: Password based Authentication**

## 7.3.2. Public key-Based Authentication

The module also supports public key-based authentication for the Crypto-Officer and User Role with at least 2048-bit RSA keys as implemented by the SSH protocol.

Method	Probability of a Single Successful Random Attempt	Probability of a Successful Attempt within a Minute
Public key-Based	A 2048-bit RSA has at least 112-bits of equivalent strength. The probability of a successful random attempt is $1/2^{112}$ , which is less than $1/1,000,000$ .	Assuming the module can support 60 authentication attempts in one minute, the probability of a success with multiple consecutive attempts in a one-minute period is $60/2^{112}$ , which is less than $1/100,000$ .

**Table 18: Public key-Based Authentication**



## 8. Key and CSP Management

The following keys, cryptographic key components and other critical security parameters are contained in the module. No parts of the SSH, TLS, or SNMP protocol, other than the KDF, have been tested by the CAVP and CMVP.

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
Operator Passwords	Generated by the crypto officer as per the module policy	<b>Agreement:</b> NA  <b>Entry:</b> Entry via console or SSH or TLS management session  <b>Output:</b> Output as part of HA direct physical connection	Non Volatile RAM	Authentication of the crypto officer and user
Operator RSA public key	Input by the crypto officer and user during the authentication via public keys.	<b>Agreement:</b> NA  <b>Entry:</b> Entry via SSH management session  <b>Output:</b> N/A	Non Volatile RAM	Authentication of the crypto officer and user via SSH management session using RSA public keys.
Firmware Integrity Key (RSA)	Generated externally	<b>Entry:</b> RSA (2048 bits) entered as part of Firmware image <b>Output:</b> Output as part of HA direct physical connection	Flash	Public key used to verify the integrity of firmware and updates
DRBG Entropy Input String	Generated internally from hardware sources	<b>Agreement:</b> NA  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used in the random bit generation process
DRBG Seed	Generated internally from hardware sources	<b>Agreement:</b> NA  <b>Entry:</b> NA	Volatile RAM	Used in the random bit generation process

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		<b>Output:</b> None		
DRBG Key	Internal value used as part of SP 800-90A CTR_DRBG	<b>Agreement:</b> NA  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used in the random bit generation process
DRBG V	Internal value used as part of SP 800-90A DRBG	<b>Agreement:</b> NA  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used in the random bit generation process
DRBG C	Internal value used as part of SP 800-90A HASH_DRBG	<b>Agreement:</b> NA  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used in the random bit generation process
Diffie-Hellman Public Key (DH) 2048-bit	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> Diffie-Hellman  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used to derive the secret session key during DH key agreement protocol
Diffie-Hellman Private Key (DH) 224 bit	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> Diffie-Hellman  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used to derive the secret session key during DH key agreement protocol
ECDH Public Key (P-256 and P-384)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> EC Diffie-Hellman  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used to derive the secret session key during ECDH key agreement protocol

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
ECDH Private Key (P-256 and P-384)	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> EC Diffie-Hellman  <b>Entry:</b> NA  <b>Output:</b> None	Volatile RAM	Used to derive the secret session key during ECDH key agreement protocol
SNMP Privacy Key (AES-128)	NIST SP 800-135 KDF	<b>Agreement:</b> NIST SP 800-135 KDF  <b>Entry:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	For encryption / decryption of SNMP session traffic
SNMP Authentication Key (HMAC-SHA1)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in SNMP
SRTP Master Key (AES-128)	Internal generation by FIPS-approved Hash_DRBG in firmware	<b>Agreement:</b> Diffie-Hellman  <b>Entry:</b> NA  <b>Output:</b> encrypted or output as part of HA direct physical connection	Volatile RAM	Generation of SRTP session keys
SRTP Session Key (AES-128)	NIST SP 800-135 KDF	<b>Agreement:</b> NIST SP 800-135 KDF  <b>Entry:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	For encryption / decryption of SRTP session traffic
SRTP Authentication Key (HMAC-SHA1)	Derived from the master key	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in SRTP

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		direct physical connection		
SSH Authentication Private Key (RSA)	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Flash Memory	RSA private key for SSH authentication
SSH Authentication Public Key (RSA)	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Flash Memory	RSA public key for SSH authentication.
SSH Session Keys (AES-128, AES-256)	Derived via SSH KDF.  Note: These keys are generated via SSH (IETF RFC 4251). This protocol enforces limits on the number of total possible encryption/decryption operations.	<b>Agreement:</b> Diffie-Hellman	Volatile RAM	Encryption and decryption of SSH session
SSH Integrity Keys (HMAC-SHA1)	Derived via SSH KDF.	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in SSH
TLS Authentication Private Key (ECDSA/RSA)	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Flash Memory	ECDSA/RSA private key for TLS authentication
TLS Authentication Public Key (ECDSA/RSA)	Internal generation by FIPS-approved CTR_DRBG	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	ECDSA/RSA public key for TLS authentication.
TLS Premaster Secret (48 Bytes)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA  <b>Entry:</b> Input during TLS negotiation	Volatile RAM	Establishes TLS master secret

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		<b>Output:</b> Output to peer encrypted by Public Key		
TLS Master Secret (48 Bytes)	Derived from the TLS Pre-Master Secret	<b>Agreement:</b> NA	Volatile RAM	Used for computing the Session Key
TLS Session Keys (Triple-DES, AES-128 CBC, AES-256)	Derived from the TLS Master Secret  Note: These keys are generated via TLS (IETF RFC 5246). This protocol enforces limits on the number of total possible encryption/decryption operations.	<b>Agreement:</b> NA	Volatile RAM	Used for encryption & decryption of TLS session
TLS Integrity Keys (HMAC-SHA1)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA  <b>Output:</b> Output as part of HA direct physical connection	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in TLS
SKEYSEED (20 Bytes)	Derived by using key derivation function defined in SP800-135 KDF (IKEv2).	<b>Agreement:</b> NIST SP 800-135 KDF  <b>Entry:</b> NA  <b>Output:</b> Output as part of HA direct physical connection to another box	Volatile RAM	160 bit shared secret known only to IKE peers. Used to derive IKE session keys
SKEYID (20 Bytes)	Derived by using key derivation function defined in SP800-135 KDF (IKEv2).	<b>Agreement:</b> NIST SP 800-135 KDF  <b>Entry:</b> NA  <b>Output:</b> Output as part of HA	Volatile RAM	160 bit secret value used to derive other IKE secrets

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		direct physical connection to another box		
SKEYID_d (20 Bytes)	Derived using SKEYID, Diffie Hellman shared secret and other non-secret values through key derivation function defined in SP800135 KDF (IKEv1/IKEv2).	<p><b>Agreement:</b> NIST SP 800-135 KDF</p> <p><b>Entry:</b> NA</p> <p><b>Output:</b> Output as part of HA direct physical connection to another box</p>	Volatile RAM	160 bit secret value used to derive IKE session keys
IKE Pre-Shared Key	Preloaded by the Crypto Officer.	<p><b>Agreement:</b> NA</p> <p><b>Output:</b> Output as part of HA direct physical connection to another box</p>	Flash Memory	Secret used to derive IKE skeyid when using pre-shared secret authentication
IKE Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	Derived via key derivation function defined in SP800-135 KDF (IKEv1/IKEv2)	<p><b>Agreement:</b> NIST SP 800-135 KDF</p> <p><b>Entry:</b> NA</p> <p><b>Output:</b> Output as part of HA direct physical connection to another box</p>	Volatile RAM	Triple-DES, AES 128 and 256 key used to encrypt data
IKE Session Authentication Key (HMAC-SHA-512)	Derived via key derivation function defined in SP800-135 KDF (IKEv1/IKEv2)	<p><b>Agreement:</b> NIST SP 800-135 KDF</p> <p><b>Entry:</b> NA</p> <p><b>Output:</b> Output as part of HA direct physical connection to another box</p>	Volatile RAM	512 bit key HMAC-SHA-512 used for data authentication

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
IKE Private Key (RSA 2048 bit)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA <b>Output:</b> Output as part of HA direct physical connection to another box	Volatile RAM	RSA 2048 bit key used to authenticate the module to a peer during IKE
IKE Public Key (RSA 2048-bit)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA <b>Output:</b> Output as part of HA direct physical connection to another box	Volatile RAM	RSA 2048 bit public key for TLS authentication.
IPsec Session Encryption Key (Triple-DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	Derived via a key derivation function defined in SP800-135 KDF (IKEv1/IKEv2).	<b>Agreement:</b> NIST SP 800-135 KDF <b>Entry:</b> NA <b>Output:</b> Output as part of HA direct physical connection to another box	Volatile RAM	Triple-DES, AES 128 or 256 bit key used to encrypt data
IPsec Session Authentication Key (HMAC-SHA-512)	Derived via a key derivation function defined in SP800-135 KDF (IKEv1/IKEv2).	<b>Agreement:</b> NIST SP 800-135 KDF <b>Entry:</b> NA <b>Output:</b> Output as part of HA direct physical connection to another box	Volatile RAM	512 bit HMAC-SHA-512 key used for data authentication for IPsec traffic
Web UI Certificate	Internal generation by FIPS approved CTR_DRBG in firmware	<b>Agreement:</b> NA <b>Output:</b> TLS session with operator	Flash	Web server certificate
Bypass Key (HMAC-SHA-256)	Internal generation by FIPS-approved CTR_DRBG in firmware	<b>Agreement:</b> NA <b>Output:</b> NA	Flash Memory	256-bit HMAC-SHA-256 used to protect bypass table

**Table 19: CSP Table**

**Note:** When the module generates symmetric keys or seeds used for generating asymmetric keys, unmodified DRBG output is used as the symmetric key or as the seed for generating the asymmetric keys.

**Note:** All keys generated by the module use the direct output of a FIPS approved DRBG. This meets the requirements of SP 800-133 rev2.

The module employs the Deterministic Random Bit Generator (DRBG) based on [SP800-90A] for the random number generation. The DRBG used for the modules is CTR\_DRBG. The module performs the DRBG health tests as defined in section 11.3 of [SP800-90A]. The module uses CPU jitter as an entropy source for seeding the DRBG. The source is compliant with [SP 800-90B] and marked as ENT(NP) on the certificate. The entropy source is tested with developer defined variants of RCT and APT Health tests as required by section 4 of [SP 800-90B]. The DRBG is seeded with more than 256 bits of entropy strength from the CPU jitter RNG (e.g., 384 bits for the CTR\_DRBG using AES-256). Therefore, the module ensures that during initialization (seed) and reseeding, the entropy source provides the required amount of entropy to meet the security strength of the CTR DRBG.



## 9. Self-Tests

The modules include an array of self-tests that are run during startup and conditionally during operations to prevent any secure data from being released and to ensure all components are functioning correctly. Self-tests may be run on-demand by power cycling the module.

### 9.1 Power-Up Self-Tests

Acme Packet 4600 and Acme Packet 6350 appliances perform the following power-up self-tests when power is applied to the module. These self-tests require no inputs or actions from the operator:

#### 9.1.1 Firmware Integrity Test

- Firmware Integrity Test (RSA 2048/SHA-256)

#### 9.1.2 Mocana Cryptographic Library Self-Tests

- AES CBC 256-bit (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test;
- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-384 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test;
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test;
- KAS-FFC-SSC SP800-56arev3 Primitive “Z” Known Answer Test (Modp\_2048 & Modp\_3072);
- IKEV1/V2 SP800-135 rev1 KDF Known Answer Test; and
- RSA 2048-bit Signature Verification Test.

#### 9.1.3 Oracle Acme Packet Cryptographic Library Self-Tests

- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test;
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test;
- AES ECB 128-bit (Encrypt/Decrypt) Known Answer Test;
- AES GCM 256-bit (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test;
- SP 800-90A CTR DRBG Known Answer Test;
- KAS-FFC-SSC SP800-56arev3 Primitive “Z” Known Answer Test (Modp\_2048);
- KAS-ECC-SSC SP800-56arev3 Primitive “Z” Known Answer Test (P256 & P384);
- SP800-135 KDF Know Answer Tests: SSH KDF, TLS KDF, SNMP KDF and SRTP KDF;
- RSA 2048-bit sign/verify Known Answer Test; and
- ECDSA P-256 sign/verify PCT.

## 9.1.4 Nitrox Self-Tests

- AES CBC 128/256-bit (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test; and
- RSA 2048-bit Sign/Verify Known Answer Test.

## 9.1.5 Octeon II Self-tests

- AES CTR/ECB 128-bit (Encrypt/Decrypt) Known Answer Test

## 9.1.6 Octeon III Self-tests

- AES GCM 128-bit (Encrypt/Decrypt) Known Answer Test;
- AES CBC 128/192/256-bit (Encrypt/Decrypt) Known Answer Test
- AES CTR/ECB 128-bit (Encrypt/Decrypt) Known Answer Test
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test;
- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test;
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test; and
- RSA 2048-bit sign/verify Known Answer Test;

## 9.1.7 SP 800-90B Health Tests

- APT and RCT Start-up tests. (The start-up tests are the continuous tests run on the first 1024 samples)

When the modules are in a power-up self-test state or error state, the data output interface is inhibited and remains inhibited until the module can transition into an operational state. While the CO may attempt to restart the module to clear an error, the module will require re-installation in the event of a hard error such as a failed self-test.

## 9.2 Critical Functions Self-Tests

Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350 appliances perform the following critical self-tests. These critical function tests are performed for each SP 800-90A DRBG implemented within the module.

- SP 800-90A Instantiation Test
- SP 800-90A Generate Test
- SP 800-90A Reseed Test
- SP 800-90A Uninstantiate Test

## 9.3 Conditional Self-Tests



The module performs the following conditional self-tests when called by the module:

- Pair Wise consistency tests to verify that the asymmetric keys generated for RSA, and ECDSA work correctly by performing a sign and verify operation;
- Continuous Random Number Generator test to verify that the output of approved-DRBG is not the same as the previously generated value;
- Developer defined variants of Repetition Count Test (RCT) and Adaptive Proportion Test (APT) are run on the output of noise source that are SP800-90B compliant to verify the output of the noise source;
- Bypass conditional test using HMAC-SHA-256 to ensure the mechanism governing media traffic is functioning correctly, and;
- Firmware Load test using a 2048-bit/SHA-256 RSA-Based integrity test to verify firmware to be loaded into the module.

## 10. Crypto-Officer and User Guidance

This section describes the configuration, maintenance, and administration of the cryptographic module. If the steps outlined in Section 10.1 below are not followed, the module will be operating in a non-compliant state that is out of scope of the validation.

### 10.1 Secure Setup for FIPS Mode of Operation

FIPS Mode is enabled by a license installed by Oracle, which will open the FIPS self-test features, and implementing the following steps:

1. Open CLI: type “setup entitlements”
2. Select “5 Data Integrity (FIPS 140-2)” option and type “enabled”
3. Type “s” to save the above modified entitlements.
4. Then reboot the module for FIPS mode to take into effect.
5. Then from a CLI the operator must enable FIPS by selecting the FIPS 140-2 option and typing “enabled” and then reboot the device.

Once the above secure setup and the secure Initialization and configuration is complete the module is in FIPS mode. The steps outlined in 10.1.1 can be performed to ensure that the FIPS Approved mode was correctly configured.

#### 10.1.1 Secure Initialization and Configuration Verification Steps

The crypto-officer can verify FIPS settings by following these steps:

- Verify that the firmware version of the module is Version S-Cz9.0 (“show version” section in [Session Border Controller ACLI Configuration Guide](#) (SBC Guide).
- A new account for the Crypto-Officer and User shall be created as part of Setup and Initialization process. Upon creation of the new CO and User accounts the “default” accounts shipped with the module shall be disabled (“local-accounts” section in SBC Guide).
- Ensure all management traffic is encapsulated within a trusted session by encapsulating in a TLS, SSH, or SRTP tunnel as appropriate (“TLS-profile”, “SSH-config” and “Sdes-profile” sections in SBC Guide).
- HTTPS shall be enabled and configure the web server certificate prior to connecting to the WebUI over TLS (“http-config” section in SBC Guide).
- Ensure that SNMP V3 is configured with AES-128/HMAC only (“SNMP-Group-Entry” section in SBC Guide).
- Ensure IKEv1 and IKEv2 is using AES CBC or CTR mode for encryption and HMAC-SHA-512 for authentication (“IKE-sainfo” section in SBC Guide).
- Ensure SSH is configured to use AES CTR mode for encryption (“Configure SSH Ciphers” section in SBC Guide).
- Ensure SSH and IKEv1/IKEv2 only use Diffie-Hellman group 14 in FIPS approved mode (“IKE-config” & “SSH-config” section in SBC Guide).
- Ensure RSA keys are at least 2048-bit keys for TLS, IKEv1/IKEv2. No 512-bit or 1024-bit keys can be used in FIPS mode of operation (“Certificate-record” section in SBC Guide - 2048 is the default RSA modulus).
- All operator passwords must be a minimum of 8 characters in length (“password-policy” section in SBC Guide).

- Ensure use of FIPS-approved algorithms for TLS (“TLS-profile” in SBC Guide):
  - TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384
  - TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_GCM\_SHA256
  - TLS\_ECDHE\_RSA\_WITH\_AES\_256\_GCM\_SHA384
  - TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256
  - TLS\_ECDHE\_RSA\_WITH\_AES\_256\_CBC\_SHA384
  - TLS\_ECDHE\_RSA\_WITH\_AES\_128\_CBC\_SHA256
  - TLS\_DHE\_RSA\_WITH\_AES\_256\_GCM\_SHA384
  - TLS\_DHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256
  - TLS\_DHE\_RSA\_WITH\_AES\_128\_CBC\_SHA256
  - TLS\_DHE\_RSA\_WITH\_AES\_256\_CBC\_SHA256
- Be aware that when configuring High Availability (HA), only a local HA configuration to a directly connected box via a physical cable over the management port is allowed in FIPS Approved Mode. Remote HA is not allowed in FIPS Approved mode.
- Be aware that HA configuration data that contains keys and CSP’s must never be transported over an untrusted network. Ensure that the HA ports used for the transport of HA data (including keys and CSP’s) are bound to a private IP address range during setup.
- Be aware that only the HA state transactions between the two devices over the direct physical connection are permitted over those dedicated ports.
- RADIUS and TACACS+ shall not be used in FIPS approved mode.
- Any firmware loaded into this module that is not shown on the module certificate, is out of the scope of this validation and requires a separate FIPS 140-2 validation.
- 3-key Triple-DES has been implemented in the module and is FIPS approved until December 31, 2023. Should the CMVP disallow the usage of Triple-DES post-December 31, 2023, then users must not configure Triple-DES.

For more details please refer to the [Session Border Controller ACLI Configuration Guide](#) (SBC Guide)

## 10.2 AES-GCM IV Construction/Usage

The AES-GCM IV is used in the following protocols:

- TLS: The TLS AES-GCM IV is generated in compliance with TLSv1.2 GCM cipher suites as specified in RFC 5288 and section 3.3.1 of NIST SP 800-52rev1. Per RFC 5246, when the nonce\_explicit part of the IV exhausts the maximum number of possible values for a given session key, the module will trigger a handshake to establish a new encryption key.

In case the module’s power is lost and then restored, the key used for the AES GCM encryption or decryption shall be redistributed.



## **11.Mitigation of Other Attacks**

The module does not mitigate attacks beyond those identified in FIPS 140-2.

## Acronyms Terms and Abbreviations

Term	Definition
ACLI	Acme Command Line Interface
AES	Advanced Encryption Standard
BDRAM	Battery Backed RAM
CMVP	Cryptographic Module Validation Program
CDR	Call Data Record
CSEC	Communications Security Establishment Canada
CSP	Critical Security Parameter
DHE	Diffie-Hellman Ephemeral
DRBG	Deterministic Random Bit Generator
ESBC	Enterprise Session Border Controller
ECDSA	Elliptic Curve Digital Signature Algorithm
ESBC	Enterprise Session Border Controller
EDC	Error Detection Code
EMS	Enterprise Management Server
HA	High Availability
HMAC	(Keyed) Hash Message Authentication Code
IKE	Internet Key Exchange
KAT	Known Answer Test
KDF	Key Derivation Function
LED	Light Emitting Diode
MGT	Management
NIST	National Institute of Standards and Technology
POST	Power On Self Test
PUB	Publication
RAM	Random Access Memory
ROM	Read Only Memory
SHA	Secure Hash Algorithm
SNMP	Simple Network Management Protocol
SRTP	Secure Real Time Protocol
TDM	Time Division Multiplexing
TLS	Transport Layer Security

**Table 20: Acronyms**

## References

The FIPS 140-2 standard, and information on the CMVP, can be found at <http://csrc.nist.gov/groups/STM/cmvp/index.html>.

More information describing the module can be found on the Oracle web site at <https://docs.oracle.com/en/industries/communications/session-border-controller/index.html>.

This Security Policy contains non-proprietary information. All other documentation submitted for FIPS 140-2 conformance testing and validation is “Oracle - Proprietary” and is releasable only under appropriate non-disclosure agreements.

Document	Author	Title
FIPS PUB 140-2	NIST	FIPS PUB 140-2: Security Requirements for Cryptographic Modules
FIPS IG	NIST	Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program
FIPS PUB 140-2 Annex A	NIST	FIPS 140-2 Annex A: Approved Security Functions
FIPS PUB 140-2 Annex B	NIST	FIPS 140-2 Annex B: Approved Protection Profiles
FIPS PUB 140-2 Annex C	NIST	FIPS 140-2 Annex C: Approved Random Number Generators
FIPS PUB 140-2 Annex D	NIST	FIPS 140-2 Annex D: Approved Key Establishment Techniques
DTR for FIPS PUB 140-2	NIST	Derived Test Requirements (DTR) for FIPS PUB 140-2, Security Requirements for Cryptographic Modules
NIST SP 800-67	NIST	Recommendation for the Triple Data Encryption Algorithm TDEA Block Cypher
FIPS PUB 197	NIST	Advanced Encryption Standard
FIPS PUB 198-1	NIST	The Keyed Hash Message Authentication Code (HMAC)
FIPS PUB 186-4	NIST	Digital Signature Standard (DSS)
FIPS PUB 180-4	NIST	Secure Hash Standard (SHS)
NIST SP 800-131A	NIST	Recommendation for the Transitioning of Cryptographic Algorithms and Key Sizes
PKCS#1	RSA Laboratories	PKCS#1 v2.1: RSA Cryptographic Standard

**Table 21: References**